



Docket No. 1232-5262

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

OKUYAMA, et al.

Group Art Unit:

2851

Serial No.:

10/767,010

Confirmation No.

4729

Examiner:

TBA

Filed:

January 28, 2004

For:

POLARIZATION BEAM SPLITTING OPTICAL SYSTEM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 2231-1540

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

	For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
⊠	For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
	JP 7-38050.
	Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No, filed
	<u></u>

4.	\boxtimes		is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement is being filed in compliance with:
			37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or
			37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
			37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
		\boxtimes	37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114.
5.		since i specifi Notice accom	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement is being filed in compliance with 37 C.F.R. §1.97(c), after the period ed in paragraph 4 above but before the mailing date of a final action or a of Allowance (where there has been no prior final action), and is panied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in aph 9 below.
6.		since i	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement is being filed in compliance with 37 C.F.R. §1.97(c), after the period ed in paragraph 4 above but before the mailing date of a final action or a of allowance (where there has been no prior final action):
			A check in the amount of \$180.00 is enclosed in payment of the fee.
			Charge the fee to Deposit Account No. <u>13-4500</u> , Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7.		since i	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement t is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date nal action or a notice of allowance, whichever comes first, but before ent of the issue fee, and is accompanied by:
			ne of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 elow; and
			te fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 elow.
8.		A fee since	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement it is being filed in compliance with:
		a. 🗌	37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition

		To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);
	b. 🗌	37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and
	is acco	ompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).
	c. 🗌	The fees due under 37 C.F.R. §§1.17(h) and 1.17(p) are paid as set forth in paragraph 11 below.
9.	Disclo	by certify that each item of information contained in this Information osure Statement was first cited in a communication from a foreign patent in a counterpart foreign application not more than three months prior to the of this Information Disclosure Statement.
	Staten in a co inquir	by certify that no item of information in the Information Disclosure nent filed herewith was cited in a communication from a foreign patent office ounterpart foreign application or, to my knowledge after making reasonable y, was known to any individual designated in §1.56(c) more than three as prior to the filing of this Information Disclosure Statement.
10.	This d	locument is accompanied by a Search Report Communication which ited in a corresponding PCT or Foreign counterpart application
11.		ck in the amount of \$\\$\\$\\$\\$\\$\\$\\$\\$\\$\\$\\$\\$\\$\\$\\$\\$\\$\\$\
	13-45	the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. 00, Order No A DUPLICATE COPY OF THIS SHEET IS ACHED.

The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. <u>13-4500</u>, Order No. <u>1232-5262</u> A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: November 1, 2004

By:

Joseph A. Calvaruso Registration No. 28,287

Correspondence Address:

MORGAN & FINNEGAN, L.L.P. Three World Financial Center New York, NY 10281-2101 (212) 758-4800 Telephone (212) 751-6849 Facsimile

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FORM PTO-1449

ttorney Docket:	Serial No.
232-5262	10/767,01

Applicant: OKUYAMA, et al..

INFORMATION DISCLOSURE CITATION | OKUYAMA, e

ling Date: Group Art Unit: 2851

Page <u>1</u> of <u>1</u>

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			U.S.	PATENT DOC				
Examiner Initial		Patent Number	Publica Date	tion	Name	Class	Sub- Class	Filing Date
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Examiner Initial		Patent Number	Publica Date	tion		Class	Sub- Class	Translation
	1	7-38050	04/26/199	Japan (v	w/ abstract)			☐ Yes ⊠ No
								☐ Yes ☐ No
								☐ Yes ☐ No
								☐ Yes ☐ No
					-			☐ Yes ☐ No
								☐ Yes ☐ No
								☐ Yes ☐ No
	<u> </u>	OTHER	DOCUME	NTS (Includin	g Author, Title,	Date, etc.)		•
Examiner				Date C	Date Considered			
EXAMINER:	Draw line	eference considered, whether through citation if not in con opy of this form with next con	formance and	not considered.	vith MPEP §609.			



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POLARIZATION BEAM SPLITTING OPTICAL SYSTEM

CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Sir:

I hereby certify that the attached:

- 1. Information Disclosure Statement (2 references cited)
- 2. Form PTO-1449
- 3 Return Receipt Postcard
- 4. Certificate of Mailing

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

Respectfully submitted, MORGAN & FINNEGAN, L.L.P.

Dated: November 18, 2004

By:

Helen Tiger

Correspondence Address:

MORGAN & FINNEGAN, L.L.P. 3 World Financial Center New York, NY 10281-2101 (212) 415-8700 Telephone (212) 415-8701 Facsimile